PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

13

07-11-0

In re the Application of

Minoru YAMADA et al.

Application No.: 09/812,997

Filed: March 21, 2001

Docket No.: 109016

For: NEAR-FIELD OPTICAL HEAD

INFORMATION DISCLOSURE STATEMENT RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 JUL 0 8 2003

Technology Center 2600

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. English-language Abstracts of the non-English language references are attached hereto.
- 3. A computer-generated English translation of the following Japanese Patent Publication has been obtained from the website of the Japanese Patent Office ([http://www.jpo.go.jp]), and is attached, but has not been reviewed for accuracy. See Reference 4

Respectfully submitted,

Registration No. 27,07

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JAO:JSA/tmw

Date: July 3, 2003

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